

Contents

Chapter 1	
Regulation of the Financial Services Industry	5
Chapter 2	
Corporate Entities	21
Chapter 3	
Directors and Board Structure	39
Chapter 4	
Policies and Procedures	89
Chapter 5	
Company Constitution and Management	105
Chapter 6	
Raising and Maintaining Capital	115
Chapter 7	
Accounts, Reporting and Audit	129
Chapter 8	
Administration of Companies in Financial Difficulties	143
Case Studies	158
Appendix	
Selected Sections of the <i>Corporations Act 2001</i> (Cth)	161